

Research, Creativity and Economic Development MSC 3RES New Mexico State University P.O. Box 30001 Las Cruces, NM 88003-8001 575-646-2481, email: vpr@nmsu.edu

DATE:November 8, 2023TO:NMSU system students, faculty, and staffFROM:Luis A. CifuentesSUBJECT:Export Compliance Statement

New Mexico State University is committed to compliance with the United States export control laws. These regulations include Export Administration Regulations (EAR) administered by the U.S. Commerce Department, the International Traffic in Arms Regulations (ITAR) administered by the U.S. State Department, and the Office of Foreign Assets Control programs administered by the U.S. Treasury.

To fulfill this commitment in NMSU's research and creative programs, our export control and research security procedures are designed to support and facilitate the efforts of faculty and scholars in integrated processes university-wide. As a research university with culturally diverse faculty and student populations, NMSU operates to the greatest extent possible in an open environment that encourages the free exchange of ideas and information. At the same time, export control regulations require control of certain technologies and commodities designated as important to U.S. economic interests and national security. Export controls apply when individuals send or take controlled technologies to other countries, or when controlled technical information is transferred to foreign nationals within the U.S.

Some typical university activities that can have export control implications are:

- Collaborating with a foreign institution, person, or entity;
- Receiving controlled materials, data, or samples for research analysis;
- Sponsored research awards that have restrictions on publication of research results;
- Foreign national visitors in areas with access to controlled items or technical data;
- International shipping or hand-carrying controlled items, equipment, samples, or electronic data; and
- Transactions involving sanctioned countries such as Cuba, Iran, North Korea, Sudan, and Syria.

It is vitally important for university personnel to have a basic awareness of export control requirements to identify when their activities trigger evaluation. A violation of export control regulations, such as failure to obtain an export license when required, can result in personal and institutional liabilities including fines of \$250,000 to \$1 million per occurrence, and prison time for criminal convictions.

Under no circumstances will exports be made contrary to the U.S. export regulations by any individual operating on behalf of NMSU. No activities will be undertaken that are in violation of the U.S. policies that seek to control nuclear proliferation, missile technology, and chemical and biological weapons.

I ask each of you to take this matter seriously and support the university in this effort. The Office of Research Integrity and Compliance (RIC) provides resources on the <u>Export Compliance webpage</u> to help inform and empower researchers and the entire NMSU community. If you have questions, need guidance, or require an export control evaluation, contact the export control officer via email to ric_admin@nmsu.edu or phone the office of the Vice President for Research at (575) 646-7177.